PTO-1390 (Rev. 07-2005)

Approved for use through 03/31/2007. OMB 0651-0021 U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 04970/0204683-US0 **DESIGNATED/ELECTED OFFICE (DO/EO/US)** U.S. APPLICATION NO. (if known, see 37 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL FILING DATE INTERNATIONAL APPLICATION NO. PRIORITY DATE CLAIMED PCT/JP2004/017725 29 November 2004 2 December 2003 TITLE OF INVENTION PLASMA GENERATOR AND PLASMA ETCHING APPARATUS APPLICANT(S) FOR DO/EO/US Yasuyuki Hayashi et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must 3. × include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). 4. X 5. X A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) is attached hereto (required only if not communicated by the International Bureau). b. × has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). X An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. X a. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. b. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. d. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. X An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11.| An Information Disclosure Statement under 37 CFR 1.97 and 1.98.; PTO/SB/08 w/ 6 docs. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13.| x A preliminary amendment. 14. X An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification. 16. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825.

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

A second copy of the published International Application under 35 U.S.C. 154(d)(4).

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The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or fraction **Total Sheets** Extra Sheets RATE thereof (round up to a whole number) 27 /50 = \$ - 100 **=** x \$250.00 Surcharge of \$130 for furnishing any of the search fee, examination fee, or the oath or declaration \$ after the date of commencement of the national stage (37 CFR 1.492(h)). NUMBER FILED **NUMBER EXTRA** RATE **CLAIMS** Total claims 10 - 20 =0.00 × Independent claims 1 - 3 =0.00 × MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$ TOTAL OF ABOVE CALCULATIONS = 900.00 Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. SUBTOTAL = \$ 900.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest \$ claimed priority date (37 CFR 1.492(i)). \$ 900.00 TOTAL NATIONAL FEE Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied \$

\$ \$

Amount to be

Amount to be

refunded:

charged

900.00

\$

\$

TOTAL FEES ENCLOSED =

by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

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U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. a. A check in the amount of \$ _____ to cover the above fees is enclosed. Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. b. c. x The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-0100 . A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information d. X should not be included on this form. Provide credit card information and authorization on PTO-2038 NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a pet ition to revive (37 CFR 1.137(a) or (b)) must be filed an granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: SIGNATURE Peter C. Schechter DARBY & DARBY P.C. P.O. Box 5257 Louis J. DelJuidice New York, New York 10150-5257 NAME (212) 527-7723 CUSTOMER NUMBER: 07278 47,522 REGISTRATION NUMBER